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Attorney's Docket No.2002US303

Filing Date: January 9, 2002

Serial No. 10/042,531

Receipt is hereby acknowledged of:

- 1) Transmittal of Information Disclosure Statement - 2 pages w/Certificate of Mailing by First Class Mail
- 2) Information Disclosure Statement (2 pages)
- 3) Information Disclosure Citation (1 page)
- 4) References XP-008014024 and WO 02/093263 A1
- 5) Copy of International Search Report - Int'l Application No. PCT/EP03/00021 (4 pages)
- 6) Certificate of Mailing for items 2 and 3

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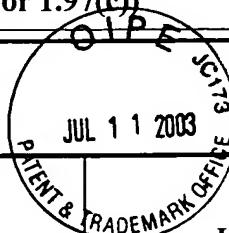
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
2002US303

In Re Application Of: TAKANORI KUDO et al



Serial No. 10/042,531	Filing Date January 9, 2002	Examiner UNKNOWN	Group Art Unit 1752
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Title: NEGATIVE DEEP ULTRAVIOLET PHOTORESIST

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37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

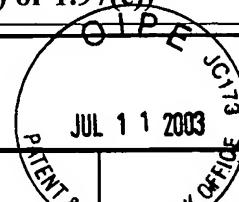
- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
  - the statement specified in 37 CFR 1.97(e);
  - the fee set forth in 37 CFR 1.17(p).

OR

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
 (Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
 2002US303

In Re Application: **TAKANORI KUDO et al**



Serial No. 10/042,531	Filing Date January 9, 2002	Examiner UNKNOWN	Group Art Unit 1752
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**NEGATIVE DEEP ULTRAVIOLET PHOTORESIST**

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*S. Jain*

Signature

Sangya Jain, Reg. No. 38,504

Clariant Corporation

70 Meister Avenue

Somerville, NJ 08876

telephone (908) 429-3536

cc:

Dated: July 8, 2003

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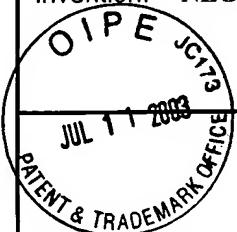
Applicant(s): Takanori Kudo et al

Docket No.

2002US303

Serial No.  
10/042,531Filing Date  
January 9, 2002Examiner  
UNKNOWNGroup Art Unit  
1752

Invention: NEGATIVE DEEP ULTRAVIOLET PHOTORESIST

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Attorneys Docket No. 2002US303

1752  
H4

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Takanori Kudo et al

Serial No.: 10/042,531

Group Art Unit: 1752

Filed: January 9, 2002

Examiner: UNKNOWN

For: NEGATIVE DEEP ULTRAVIOLET PHOTORESIST

**Assistant Commissioner for Patents**  
Washington, D.C. 20231

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### INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF THE FIRST OFFICE ACTION

Sir/Madam:

#### 1. IDENTIFICATION OF TIME OF FILING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 CFR 1.97(e).

#### 2. PRELIMINARY STATEMENTS

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

#### 3. COMPLETED FORM PTO-1449 IS ATTACHED.

#### 4. IDENTIFICATION OF PRIOR APPLICATION IN WHICH LISTED INFORMATION WAS ALREADY CITED AND FOR WHICH NO COPIES ARE SUBMITTED OR NEED BE SUBMITTED

[ ] This application relies, under 35 U.S.C. 120, on the earlier filing date of prior application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_. The following

references were submitted to and/or cited by the Office in this prior application, and therefore, are not required to be provided in this application.

5. COPIES OF LISTED INFORMATION ITEMS ACCOMPANYING THIS STATEMENT

Legible copies of all items listed accompany this information statement.

Exception(s) to above: WO 00/67072

Items in prior application from which an earlier filing date is claimed for this application as identified in No. 4 above.

6. TRANSLATION(S) OF NON-ENGLISH LANGUAGE DOCUMENTS

Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material:

No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except those listed above.

The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith.

7. IDENTIFICATION OF PERSON(S) MAKING THIS INFORMATION DISCLOSURE STATEMENT

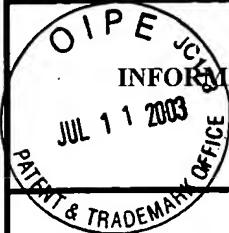
The person making this statement is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted:



Sangya Jain, Reg. No. 38,504  
Clariant Corporation  
70 Meister Avenue  
Somerville, NJ 08876  
Telephone: 908-429-3536

Customer Number 26,289



**INFORMATION DISCLOSURE CITATION**  
*(Use several sheets if necessary)*

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Docket Number (Optional) 2002US303

Application Number

**Applicant(s)**  
**Takanori Kudo et al**

**Filing Date**

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## **Group Art Unit**

1752

## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
~	WO 02 093263 A 1	11/21/2002	Europe				

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

• Padmanaban et al, XP-008014024, "Materials and Resists for 193 and 157 nm Applications", Journal of Photopolymer Science and Technology, CHIBA, JP, vol. 14, no. 4, 2001, pages 631-642

**EXAMINER**

**DATE CONSIDERED**

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.